

To,  
HSBC Mutual Fund

Dear Sirs,

I/We request you to note the change in the guardian of the below-named minor unitholder in your records for the reason indicated hereinbelow:

<b>Name of the Minor Unitholder</b>			
<b>Folio No.</b>			
<b>Name of the new Guardian</b>			
<b>PAN of the new Guardian</b>			
<b>Contact details</b>	Mobile: + 9 1 <input type="text"/>	Tel. No.: <input type="text"/>	<input type="text"/>
	Email Id : <input type="text"/>		
<b>Relationship with the Minor</b>	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian <i>Please tick [✓] whichever is applicable</i>		
<b>Reason for request for change in Guardian</b>	<input type="checkbox"/> With mutual consent with the existing guardian ( <i>who has signed below</i> ). <input type="checkbox"/> Consequent on demise of the existing guardian. <input type="checkbox"/> Pursuant to appointment of a Legal guardian by a competent court.		
<b>Signature of the new Guardian</b> (as per the bank account of the Minor, where the new guardian is registered as the Guardian)	X		
<b>Attestation of signature of new Guardian by existing Guardian (if change in the guardian is with mutual consent)</b>			
<b>Name of the existing Guardian</b> (as registered in the Minor's folio)			
<b>Signature of existing Guardian</b>	X		
<b>Attestation of signature of the new Guardian by the bankers</b>			
Bank Name :			
Branch :		Bank City :	
A/c No. <input type="text"/>	A/c Type: <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____		
Name* of the Authorised Bank Official :		Signature of bank official with Bank's Seal	
Designation* :			
Employee Code* :			
Tel. Number* :			

\*mandatory

### Request Form for Change in Guardian of Minor Unitholder

**Documents Attached**

- ☐ Copy of PAN Card of the new Guardian  
☐ KYC Acknowledgment OR ☐ KYC form of the new Guardian  
☐ Attested\* copy of Death Certificate of the Guardian (*if applicable*)  
☐ Attested\* copy of the court order if the change guardian is due to appointment of Legal Guardian by the court.  
☐ Documentary evidence showing relationship of the new Guardian with the Minor (*such as the minor's Passport/ birth certificate/ PAN Card / School Leaving Certificate etc. of wherein name of the new guardian is mentioned*)  
☐ A cancelled cheque evidencing the change of guardian in respect of the minor's registered bank account with the new Guardian's name.  
☐ FATCA, CRS & Supplementary Information  
☐ Annexure 1

\*To be duly attested by a Notary Public or a Judicial Magistrate First Class (JMFC) or a Gazetted Officer.

Request for **Change in Guardian of Minor Unitholder** from \_\_\_\_\_

\_\_\_\_\_ for

Folio No.  (subject to verification of documents).

Signature with Seal

**FATCA – CRS DECLARATION & SUPPLEMENTARY INFORMATION**  
**(For Individual Investors including Sole Proprietor & POA Holder)**

Please refer to the Instructions for assistance and complete all sections in English. For legibility, please use BLOCK letters in black or dark ink. Please seek appropriate advice from a tax professional on FATCA related information applicable to you.

**1. APPLICANT(S) INFORMATION**

Folio No./Application No.																									
Name of Sole/1st Unit Holder	First Name				Middle Name				Last Name																
Name of 2nd Applicant	First Name				Middle Name				Last Name																
Name of 3rd Applicant	First Name				Middle Name				Last Name																
	<b>First Unit Holder</b>				<b>Second Unit Holder</b>				<b>Third Unit Holder</b>																
PAN/PEKRN**																									
Aadhaar No.																									
KIN^																									
Date of Birth^	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	
Mobile No.													E-mail ID												

\*\* PEKRN required for Micro investments upto Rs. 50,000 in a year.

^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**2. FATCA & CRS DETAILS**

Category	Sole/First Applicant/Guardian	Second Applicant	Third Applicant
Gender			
Father's Name			
Type of address given at the KRA (Please ✓)	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Permissible documents are:	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> PAN Card <input type="checkbox"/> Govt. ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NRE/GA Card <input type="checkbox"/> Others _____		
Place and Country of Birth	Place _____ Country _____	Place _____ Country _____	Place _____ Country _____
Country of citizenship/nationality	<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please, specify _____)	<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please, specify _____)	<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please, specify _____)
Gross Annual Income (Please ✓)	<input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs -1 Crore <input type="checkbox"/> > ₹ 1 Crore	<input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs -1 Crore <input type="checkbox"/> > ₹ 1 Crore	<input type="checkbox"/> Below ₹ 1 Lac <input type="checkbox"/> ₹ 1-5 Lacs <input type="checkbox"/> ₹ 5-10 Lacs <input type="checkbox"/> ₹ 10-25 Lacs <input type="checkbox"/> ₹ 25 Lacs -1 Crore <input type="checkbox"/> > ₹ 1 Crore
Net-worth in Rupees	₹ Net-worth should not be older than 1 year as on (date) D D M M Y Y Y Y		
PEP Status (✓)	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP) <input type="checkbox"/> Not Applicable
<b>I am a tax resident of India and not a resident of any other country</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <b>If 'Yes',</b> please proceed for signature of declaration. <b>If 'No', please fill below,</b> for all countries (other than India) in which you are resident for tax purposes, i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in respective countries.			
Country of Tax Residency#			
Tax Identification Number (TIN) or Functional Equivalent^			
Identification Type (TIN or Other, please specify)			
If TIN is not available, please ✓ the reason A, B or C [as defined below]	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C
• Reason A → The country where the Account Holder is liable to pay tax does not issue TIN to its residents. • Reason B → No TIN required [Select this reason only for the authorities of the respective country of tax residence do not required the TIN to be collected] • Reason A → Others - Please specify the reason			

# To also include USA, where the individual is a citizen/green card holder of USA.

^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

We [HSBC, on behalf of participating Mutual Funds] acknowledge the receipt of FATCA/CRS declaration form duly filled and signed from

Name of the Investor: \_\_\_\_\_

Folio No./Application No: \_\_\_\_\_ PAN: \_\_\_\_\_

Date : D D M M Y Y Y Y

Signature with Seal

## 2. DECLARATION AND SIGNATURES

I hereby confirm that the information provided hereinabove is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA and CRS Terms and condition below and hereby accept the same. I authorize the Fund to update its records from the FATCA/CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators / tax authorities. I authorize the Fund/AMC/RTA to close or suspend my account(s) under intimation to me for non-submission of documentation.

Signatures	X	X	X															
	Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA															
	Date <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Place <table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
D	D	M	M	Y	Y	Y	Y											

## FATCA & CRS TERMS & CONDITIONS

(Note: The guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA & CRS. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962 which Rules require Indian financial institutions such as the Bank to seek additional personal tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. ,within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request,even if you believe you have already supplied any previously requested information.

## FATCA & CRS INSTRUCTIONS

If you have any questions about you tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder ,please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country ,customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA / CRS indicia
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: <ul style="list-style-type: none"><li>• Certified Copy of "Certificate of Loss of Nationality</li><li>• or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;</li><li>• or Reason the customer did not obtain U.S. citizenship at birth</li></ul>
Residence/mailling address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b> 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<b>If no Indian telephone number is provided</b> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b> 2. Documentary evidence (refer list below) <b>If Indian telephone number is provided along with a foreign country telephone number</b> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; <b>OR</b> 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

## CALL US AT

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

## TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200 / 1800-200-2434	1800-419-9800	1800-4190-200 / 1800-200-2434	+ 91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

**Bank Attestation of Account Details & Account-holder's Signature**

*This form should be used only when the investor signature is required to be attested by the Bank Manager as per the Fund's requirement.  
(To be given on Bank's Letter Head or Bank Branch seal, employee name and number seal should be affixed)*

Date 

D	D
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 / 

M	M
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 / 

Y	Y	Y	Y
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**TO WHOMSOEVER IT MAY CONCERN**

This is to certify that Mr. / Ms.

S/o / D/o

Residing at

is a customer of our Bank, namely,

Name of the Bank

Name of the Branch

having the following Bank Account:

Bank Particulars	
Bank Account No	<table border="1" style="width: 100%; height: 20px;"></table>
A/c Type (✓)	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> NRNR <input type="checkbox"/> Others (Pl. specify) _____
MICR Code (9 Digit)	<table border="1" style="width: 45%; height: 20px;"></table> IFSC Code (11 Digit) <table border="1" style="width: 55%; height: 20px;"></table>
His/her address and PAN, as per our Bank records, is as follows:	
City	PIN <table border="1" style="width: 150px; height: 20px;"></table> State <table border="1" style="width: 100px; height: 20px;"></table>
PAN as per Bank records	<table border="1" style="width: 150px; height: 20px;"></table>

Signature Verification by Bankers	
<div style="text-align: center; font-size: 2em; margin-bottom: 20px;">X</div> <div style="text-align: center;">Signature of the A/c holder</div>	<p><b>Signature of the above customer in the box alongside, is verified &amp; validated with his/her specimen signature as per Bank's records.</b></p> <div style="text-align: center; font-size: 2em; margin-bottom: 20px;">X</div> <div style="text-align: center;">Signature of the bank official with Bank's Seal</div>

Name\* of the attesting Bank Official

Designation\*

Employee Code\*

Telephone Number\*

Place:

*\*Mandatory*